

# 1 **Statistical investigation of a dehumidification system performance** 2 **using Gaussian process regression**

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## 10 **Abstract**

11 Swift performance assessment of dehumidification systems, in design stage and while  
12 operation of the system is of substantial importance for commercialization and wide  
13 implementation of this technology. This paper presents a novel statistical model, employing  
14 Gaussian Process Regression (GPR) to investigate performance of a solar/waste energy driven  
15 dehumidification/regeneration cycle with a solid adsorbent bed. The statistical model takes  
16 thousands of operating conditions derived from a numerical model to predict the performance  
17 of the system. This predictive tool directly correlates the main operating parameters with the  
18 performance parameters of the system. The operating parameters considered in this study are:  
19 temperature, relative humidity and flow rate of process air, temperature of regeneration air,  
20 length of the desiccant bed, solar radiation intensity and operating time, and the selected  
21 performance parameters are: moisture extraction efficiency for the dehumidification cycle and  
22 moisture removal efficiency for the regeneration cycle. The model is evaluated by three metrics,  
23 namely: root mean square error (RSME), mean absolute percentage error (MAPE), and  
24 coefficient of determination ( $R^2$ ). The maximum RSME and MAPE for moisture extraction are  
25 only 0.045, 0.21%, and for moisture removal efficiencies are 0.082 and 0.39%, respectively,  
26 while the  $R^2$  value is derived as 0.97. The developed model is used to investigate the impact of  
27 four selected operating parameters on system performance. Additionally, the system

28 performance is predicted for randomly generated operating conditions as well as warm and  
 29 humid climates. The developed GPR model provides a swift and highly accurate predictive  
 30 tool for design of the dehumidification systems and for commercialization of the investigated  
 31 dehumidification systems.

32 **Keywords:** Gaussian process regression, operating parameters, performance parameters,  
 33 dehumidification, regeneration.

34

<b>Nomenclature</b>		p	Process air
d	Humidity ratio (kg water vapor/kg of dry air)	out	Outlet
W	Water content, (kg adsorbate/kg adsorbent)	i	Initial
$c_p$	Specific heat capacity, kJ/kg K	in	Inlet
A	Cross-sectional area, $m^2$	d	Desiccant
C	Perimeter of air flow passage, m	me	Moisture extraction
T	Temperature, $^{\circ}C$	mr	Moisture removal
RH	Relative humidity	r	Regeneration
u	Air velocity, (m/s)	v	Vapour
$D_s$	surface diffusivity, $m^2/s$	t	Training
$D_0$	Ordinary diffusivity, $m^2/s$	d	Desiccant
$D_G$	Gas phase diffusivity, $m^2/s$	<i>Greek symbols</i>	
L	Bed length, m	$\alpha$	Heat transfer coefficient, $kW/m^2K$
X	Dependent variable	$\rho$	Density, $kg/m^3$
K	Thermal conductivity, W/m K	$\eta$	Efficiency
Sh	Sherwood number	$\square$	Measurement error

$K_y$	Coefficient of mass convection, kg/m <sup>2</sup> s	$\varepsilon$	Porosity
$y$	Independent variable	$\square$	Length-scale
$N$	Number of operating conditions	$\beta$	Model coefficient
$F$	Volume ratio	$\square$	Volume ratio of desiccant, %
$I$	Solar radiation intensity, W/m <sup>2</sup>	$\sigma_f^2$	Signal variance
$T$	Time, s	<i>Abbreviations</i>	
$t_h$	Hourly operating time, hr	GPR	Gaussian process regression
$Nu$	Nusselt number	RMSE	Root mean square error
$z$	Air flow direction	MAPE	Mean absolute percentage error
<i>Subscripts</i>			
A	Air		

35

## 36 1. Introduction

37 Air with a relative humidity (RH) between 40% and 60% is the most convenient indoor air  
38 [1]. Due to high energy consumption and low COP (2-4) of conventional mechanical  
39 vapour compression refrigeration air conditioning systems [2], energy efficient desiccant  
40 cooling and air-conditioning systems have attracted more attention in past decades [3].  
41 Numerous research has suggested that the desiccant cooling and air-conditioning systems  
42 with solid or liquid desiccants are the potential substitutes to electrically driven vapour  
43 compression cooling systems [4-6].

44 Desiccant systems have been investigated by a number of experimental and numerical  
45 studies. Through experimental studies, Chen et al. [7] presented a novel polymer hollow  
46 fibre liquid desiccant dehumidification system with latent effectiveness of 0.25-0.43 and  
47 the sensible effectiveness of 0.31-0.52. Cho et al. [8] conducted a series of experiments and

48 found that the cross-flow liquid desiccant dehumidifier has stable dehumidification  
49 performance regardless of the variations in operating parameters, but the cross-flow  
50 dehumidifier performance is effected by temperature and humid process air conditions. Bai  
51 et al. [9] experimentally investigated the performance of the membrane-based liquid  
52 desiccant dehumidification system with calcium chloride. The sensible, latent and total  
53 effectiveness in their study were recorded as 0.49, 0.55, and 0.53, respectively. Yang et al.  
54 [10] studied a novel solar solid dehumidification and regeneration bed with three  
55 regeneration methods. The results showed that the combined regeneration methods i.e.,  
56 simulated solar radiation regeneration, microwave regeneration, and combined  
57 regeneration of the microwave and simulated solar radiation had higher regeneration  
58 efficiencies.

59 Among the numerical studies, Su et al [11] presented a two-stage liquid-desiccant  
60 dehumidification system with 30.63% lower power consumption compared to the  
61 conventional systems. Park et al. [12] compared a liquid desiccant and evaporative cooling-  
62 assisted system to a single stage one and found that the primary energy consumption is 17.4%  
63 lower while thermal and primary coefficients are 41% and 20% higher in the liquid  
64 desiccant and evaporative cooling-assisted system. Guo et al. [13] performed a hybrid  
65 method combining the electro dialysis and thermal regeneration method for liquid desiccant  
66 dehumidification and found electro dialysis accounted for 85% of the total energy  
67 consumption of liquid desiccant regeneration. Song et al. [14] detected the hidden  
68 relationship between the heating and cooling sources and the air states. Ali et al [15]  
69 simulated different components of a liquid desiccant based dehumidification system for  
70 greenhouse cultivation. The model is found out to be effective in removing the moisture  
71 created by the crops inside the greenhouse. Das and Jai [16] developed a model for liquid

72 desiccant dehumidification applications in which the maximum deviations of  $\pm 20\%$  was  
73 observed.

74 Study of literature revealed that the current numerical and experimental data are limited to  
75 the narrow data scales. Such limitation obstructs implementation of solar/waste energy  
76 driven dehumidification/regeneration cycle in real-life scenarios where multiple parameters  
77 vary simultaneously. The substantially high cost of constructing the experimental rigs for  
78 testing and analysis of these systems brings up further obstacles in exploring the system.  
79 Numerical models are one alternative to experimental studies. However, despite being cost  
80 effective, numerical models often require extensive input parameters and complicated  
81 equations to be solved which are extremely time consuming.

82 Therefore, to overcome the above-mentioned issues, a number of studies have proposed  
83 statistical methods. The comparative summary of these literatures and their achievements  
84 are listed in Table 1.

85 Detailed investigation of the literature revealed a research gap in utilizing full capacities of  
86 statistical modelling to predict performance of dehumidification systems by considering  
87 the commercialization of the this technology. Lack of a swift, accurate and easily done  
88 predictive tool, which can directly correlate the main parameters of this technology and  
89 predict the efficiencies of the system based on main parameters only, was an essence need.  
90 This paper pioneers in bringing the Gaussian Process Regression (GPR), which has been  
91 applied to a wide range of fields [17-25], as a predictive tool to investigate the performance  
92 of a solar/waste energy driven dehumidification/regeneration cycle, as well as, to introduce  
93 a new application for GPR. This, to the authors' knowledge, is the first statistical modelling  
94 study that applies GPR to investigate the performance of dehumidification systems. The  
95 developed GPR model directly correlates the main operating parameters i.e. temperature,  
96 relative humidity and flow rate of process air, temperature of regeneration air, length of the

97 desiccant bed, solar radiation intensity and operating time with performance parameters i.e.  
 98 moisture extraction efficiency for the dehumidification cycle and moisture removal  
 99 efficiency for the regeneration cycle.

100 In section 2, solar/waste energy driven dehumidification/regeneration cycle, GPR  
 101 methodology and dataset development are explained. Then the model results including  
 102 verification and applications are given in section 3. Eventually, the conclusion is presented  
 103 in section 4.

104 **Table1.** Summary of related studies

Study	System	Method	Remarks
Park et al [26]	Liquid desiccant system	Response Methodology (RSM)	Surface A model was derived based on the operating parameters that significantly affected the dehumidification effectiveness.
Ou et al. [27]	Liquid desiccant cooling and dehumidification system	Effectiveness-NTU, Levenberg–Marquardt and unscented Kalman filter algorithm	Experimental tests on a pilot plant revealed that the model can accurately predict the system performance under different operating conditions.
Gandhidasan and Mohandes [28]	Liquid desiccant dehumidification	Artificial Neural Network (ANN)	This study showed that the ANN can be used as a predictive tool with a reasonable degree of accuracy.
Jani et al [29]	Rotary desiccant dehumidifier	Artificial Neural Network (ANN)	Performance predictions through ANN are compared with the experiments and a close agreement is observed.
Current study	A solar/waste energy driven dehumidification/regeneration cycle with a solid adsorbent bed	Gaussian Process Regression (GPR)	The developed GPR model provides a swift and highly accurate predictive tool for design of the dehumidification systems and for commercialization of the investigated dehumidification systems.

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## 106 2. Methods

### 107 2.1. Description of a dehumidification system

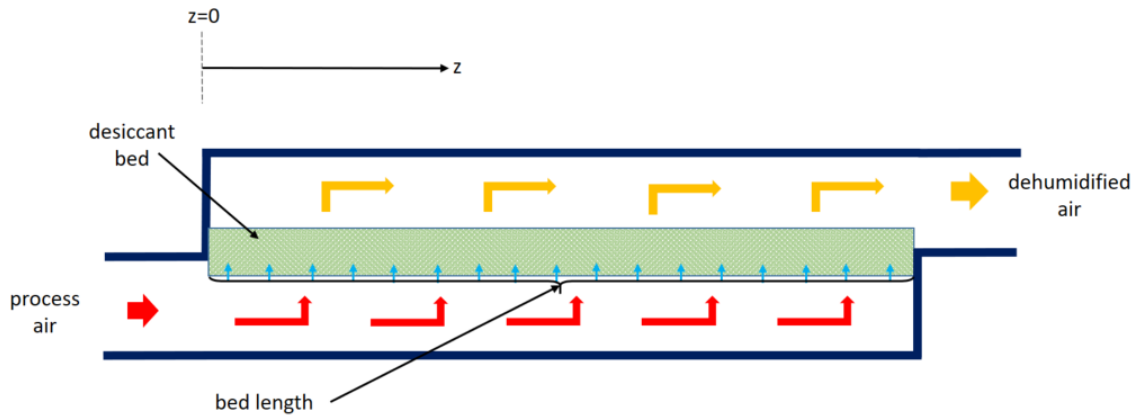
108 Schematic of the solar/waste energy driven dehumidification/regeneration cycle to be  
109 investigated in this study is shown in Figure 1. A desiccant bed is located inside a  
110 channel that is constructed by a porous and visible-light LiCl-Silicon-Gels material  
111 [2]. The bed specifications such as its dimensions and material play a key role in  
112 performance of both dehumidification and regeneration cycles. In the dehumidification  
113 process, the humid air (also called as process air), flows inside the channel and passes  
114 through the bed. The moisture of the process air is absorbed by the absorbent material  
115 in the desiccant bed owing to the partial vapour pressure difference between the solid  
116 absorbent surface of the bed and the process air. By flowing the process air through the  
117 desiccant bed, the absorbent material will gradually reach its saturation state. The  
118 regeneration process starts to regenerate the saturated absorbent material for the next  
119 dehumidification cycle. During the regeneration process, either a high temperature  
120 regeneration air with a temperature more than 70°C or a low temperature regeneration  
121 air heated with the solar radiation passes through the saturated absorbent. As the  
122 regeneration air passes through the channel, the heat is transferred from the regeneration  
123 air to the water inside the absorbent voids and evaporates water. Eventually, the  
124 regeneration air transports the evaporated water out of system and the regenerated  
125 absorbent is ready for another dehumidification cycle. When the solar radiation is not  
126 available, the regeneration air is initially heated by an available waste heat.

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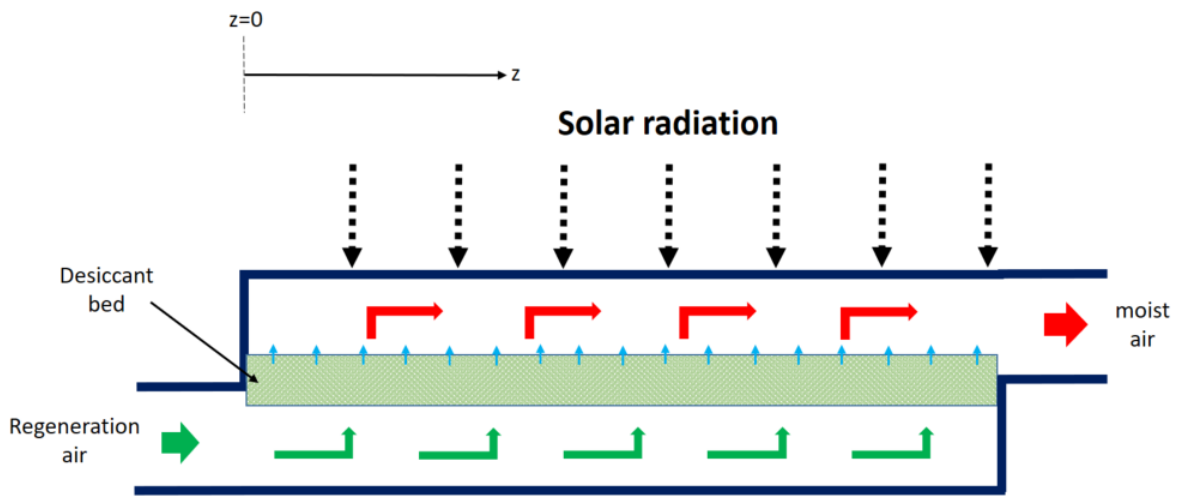
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(a)



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(b)

**Figure 1.** Solar/waste energy driven dehumidification and regeneration cycle

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The system's performance is identified by two main parameters: moisture extraction efficiency and moisture removal efficiency. Moisture extraction efficiency is the ratio of difference in inlet and outlet moisture content of process air to inlet moisture content of process air [2]:

136

137

138

$$\eta_{me} = \frac{d_{p,in} - d_{p,out}}{d_{p,in}} \quad (1)$$

139

140

where  $d_{p,in}$  is moisture content of inlet air and  $d_{p,out}$  is the moisture content of outlet

141

air.



142 And the moisture removal efficiency for the regeneration cycle is ratio of difference in  
143 initial and final water content to initial water content of desiccant:

$$144 \eta_{mr} = \frac{W_i - W}{W_i} \quad (2)$$

145 where  $W_i$  is initial water content of desiccant and  $W$  is the final water content of  
146 desiccant.

## 147 **2.2. Statistical Model: Gaussian process regression**

148 Gaussian process regression (GPR) is a vigorous predictive tool which is capable of  
149 providing a predictive posterior distribution of outputs. This is a distinctive feature of  
150 GPR compared to the general regression models, such as linear or polynomial  
151 regressions which only estimate the value of the outputs. The GPR predicts the posterior  
152 probability distribution by a prior probability and then updates the prior probability  
153 distribution by training set. This means that the posterior distribution includes the full  
154 information of the prediction such as confidence level and prediction mean. A detailed  
155 description of the GPR has been presented in [30]. The main advantage of the Gaussian  
156 regression process is the way it defines the model. The GPR determines the structure  
157 of the covariance matrix of the independent variables as backbone of the model, while  
158 other regression techniques use the algebraic relationships of the independent and  
159 dependent variables [31].

160 For any training set as  $\{D = (x_i, y_i) ; i = 1, 2, 3, \dots, n\}$  where  $x_i \in \mathbb{R}^d$  and  $y_i \in \mathbb{R}$ . The  
161 Gaussian process is a prior over a function,  $f$ , based on the Bayesian theorem:

$$162 p(f | D) = \frac{p(f) p(D | f)}{p(D)} \quad (3)$$

163 The general regression model is given as:

$$164 y = x^T \beta + \varepsilon \quad (4)$$

165 Where  $\beta$  is a regression coefficient calculated from the training data and  $\varepsilon \sim N(0, \sigma^2)$ .  
 166 The error variance  $\sigma^2$  is also calculated using the training data. Simply for a Gaussian  
 167 process with  $n$  observations,  $\{x_i; i= 1,2,3, \dots n, x_i \in \mathbb{R}^d\}$  and corresponding function  
 168 variables,  $\{f(x_i); i= 1,2,3, \dots n\}$ , the joint (zero mean) Gaussian observation is:

$$169 \quad p ( f(x) | x ) = N ( 0, \sigma^2 ) \quad (5)$$

170 The Gaussian process describes the distribution over functions and it needs a covariance  
 171 or kernel function and mean function to be fully specified.

$$172 \quad f(x) \sim GP(m(x), k(x,\acute{x})) \quad (6)$$

173 The covariance function, defines the degree of correlation between the outputs of two  
 174 input sets ( $x$  and  $\acute{x}$ ), and is the backbone of the relationships between input variables.

175 The mean covariance and the kernel functions can be defined as equations 7 and 8,  
 176 respectively:

$$177 \quad m(x) = E [ f(x) ] \quad (7)$$

$$178 \quad Cov [ f(x), f(\acute{x}) ] = k(x,\acute{x}) = E [ (f(X) - m(x))(f(\acute{x}) - m(\acute{x})) ] \quad (8)$$

179 Selection of the proper kernel function is important as estimation of the posterior  
 180 distribution is significantly influenced by the prior distribution. An appropriate kernel  
 181 is chosen on basis of the assumptions such as smoothness and likely patterns to be  
 182 expected in the data. There are a number of different kernel functions such as: Matern,  
 183 exponential, power-exponential, linear, intersection exist. In this study, one common  
 184 kernel function, radial basis kernel function is used:

$$185 \quad k(x,\acute{x}) = \sigma_f^2 \exp \left[ - \sum_{i=1}^n \frac{\|x^{(i)} - \acute{x}^{(i)}\|^2}{2\theta(i)^2} \right] \quad (9)$$

186 Where  $\sigma_f^2$  is the signal variance and  $\theta$  is the length-scale. Once the prior kernel and  
 187 mean functions are chosen, the GPR can be implemented to update the kernel and mean

188 functions using the observed new dependent variable,  $\hat{y}$ , for the given new independent  
 189 variable,  $\hat{x}$ , by a new function,  $\hat{f}$ , to obtain the posterior estimation function as below:

$$190 \quad p \left( \begin{bmatrix} \hat{f} \\ \hat{f} \end{bmatrix} \right) = N \left( 0, \begin{bmatrix} K(x,x) + \sigma^2 I & K(x,\hat{x}) \\ K(\hat{x},x) & K(\hat{x},\hat{x}) \end{bmatrix} \right) \quad (10)$$

$$191 \quad m(\hat{f}) = K(\hat{x},x) (K(\hat{x},x) + \sigma^2 I)^{-1} f \quad (11)$$

$$192 \quad Cov[\hat{f}] = K(\hat{x},\hat{x}) - K(\hat{x},x)(K(x,x) + \sigma^2 I)^{-1} K(x,\hat{x}) \quad (12)$$

193 The posterior distribution is only Gaussian subject to the hyperparameters. It means  
 194 that all of the kernel function parameters are assumed to be constant. In this study, the  
 195 GPR analysis is carried out in R programming language 3.5.1 using the DiceKriging  
 196 package. The detailed information about the DiceKriging package can be found in [32].

### 197 **2.3. Numerical model**

198 The numerical model used for data collection and GPR model testing, is based on  
 199 energy and mass balance equations for two specified control volumes i.e.: flowing air  
 200 and desiccant bed particles. A number of assumptions had to be made in order to  
 201 simplify the calculations such as: the heat and mass transfer is a one dimensional; heat  
 202 conduction in flow direction is ignored; heat and mass transfer coefficients between air  
 203 and desiccant are assumed to be constant; the solar radiation in regeneration process is  
 204 uniform; the heat and mass transfer coefficients between the air and the desiccant are  
 205 constant and; any air state change at inlet and outlet of the system is ignored.

206 The dehumidification system operation is modelled by the following equations which  
 207 are solved using finite element method in Matlab [2]. The mass balance for the flowing  
 208 air stream is given as:

$$209 \quad \rho_a f A \left( \frac{\partial d_a}{\partial t} + u \frac{\partial d_a}{\partial z} \right) = K_y C (d_d - d_a) \quad (13)$$

210 Where,  $\rho_a$  is density of the air,  $f$  is volume ratio of the air space to the whole channel,  
 211  $A$  is the Cross-sectional area of the channel,  $d_a$  and  $d_d$  are absolute humidity ratios of  
 212 the air and desiccant respectively,  $u$  is flow rate,  $K_y$  is Coefficient of mass convection,  
 213  $C$  is the perimeter of air flow passage,  $t$  is time and  $z$  indicates the flow direction.

214 The mass balance within the absorbent bed is given as:

$$\begin{aligned}
 215 \quad & \rho_a \varepsilon (1-f) A \frac{\partial d_d}{\partial t} + \rho_d (1-\varepsilon) (1-f) A \phi \frac{\partial W}{\partial t} \\
 216 \quad & = \rho_a \varepsilon (1-f) A D_G \frac{\partial^2 d_d}{\partial z^2} + \rho_d \varepsilon (1-\varepsilon) (1-f) A D_s \frac{\partial^2 W}{\partial z^2} + K_y C (d_a - d_d) \quad (14)
 \end{aligned}$$

217 Where  $\varepsilon$  is porosity,  $\rho_d$  is density of desiccant,  $\phi$  is Volume ratio of desiccant,  $W$  is  
 218 dry base water content,  $D_G$  is gas phase diffusivity and  $D_s$  is surface diffusivity.

219 The energy balance within the flowing air stream is given as:

$$220 \quad \rho_a (c_{p,a} - d_a c_{p,v}) f A \left( \frac{\partial T_a}{\partial t} + u \frac{\partial T_a}{\partial z} \right) = \alpha C (T_a - T_d) + K_y c_{p,v} C (d_d - d_a) (T_a - T_d) \quad (15)$$

221 Where,  $c_{p,a}$  and  $c_{p,v}$  are specific heat capacities of air and water vapour respectively,  $\alpha$   
 222 is convective heat transfer coefficient,  $T_a$  and  $T_d$  are the temperature of the air and  
 223 desiccant bed respectively.

224 The energy balance within the absorbent bed is given as:

$$\begin{aligned}
 225 \quad & \rho_d c_{p,d} (1-f) A (1-\varepsilon) \left( \frac{\partial T_d}{\partial t} - \frac{k_d}{c_p \rho_d} \frac{\partial^2 T_d}{\partial z^2} \right) \\
 226 \quad & = \alpha C (T_a - T_d) + K_y c_{p,v} C (d_d - d_a) (T_a - T_d) + K_y C (d_d - d_a) q_s + I A / l \quad (16)
 \end{aligned}$$

227 Where,  $c_{p,d}$  is specific heat capacity of desiccant bed,  $k_d$  is thermal conductivity of  
 228 desiccant,  $I$  is solar radiation intensity and  $l$  is the thickness of the absorbent bed.

229 The initial temperature of flowing air and desiccant are constant and identical to the  
 230 initial temperature of inlet air and, the corresponding humidity ratios are also assumed  
 231 to get the humidity ratio of the inlet air. The initial water content of desiccant is assumed  
 232 to be 0.015 [kg/kg]. The boundary temperature and humidity ratios at inlet for  
 233 dehumidification and regeneration process are assumed constant for every time step.  
 234 Moreover, the temperature and moisture content gradient at desiccant boundaries are  
 235 zero.

236 The heat transfer coefficient is given as:

$$237 \quad \alpha = \frac{(Nu)(k)(C)}{4A} \quad (17)$$

238 Where Nu is nusselt number, k is thermal conductivity. The mass transfer coefficient  
 239 is presented as:

$$240 \quad K_y = \rho_a \frac{(Sh)D_0C}{4A} \quad (18)$$

241 Where  $Sh$  is Sherwood number and  $D_0$  is Ordinary diffusivity.

## 242 **2.4. Model evaluation**

243 Three common metrics are used to evaluate the prediction accuracy of the GPR model:  
 244 RMSE (root mean square error), MAPE (mean absolute percentage error) and  $R^2$   
 245 (coefficient of determination). Generally, RMSE measures deviation between the actual  
 246 values and predicted values of the dependent variables, MAPE, is used to indicate the  
 247 accuracy of the model for small changes in data and  $R^2$  is selected to measure the quality  
 248 of the model by measuring the proportion of the total variations. These metrics are  
 249 defined as:

$$250 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^N (y_i - y_{pi})^2} \quad (19)$$

$$251 \quad \text{MAPE} = \frac{1}{N} \left| \frac{\sum_{i=1}^N (y_i - y_{pi})}{\sum_{i=1}^N y_i} \right| \times 100 \quad (20)$$

$$252 \quad R^2 = 1 - \frac{\sum_{i=1}^N (y_i - y_{pi})^2}{\sum_{i=1}^N (y_i - \bar{y})^2} \quad (21)$$

253 Where N represents the number of observations,  $y_i$  and  $y_{pi}$  are the actual and predicted  
 254 values of the dependent variables, and  $\bar{y}$  is the mean value of the actual measured  
 255 dependent variables in training set.

## 256 **2.5. Dataset development**

257 A comprehensive dataset comprising the selected key operating parameters, and  
 258 corresponding performance parameters is generated using the numerical model. It is  
 259 vital to mention that the operating parameters in current dehumidification system  
 260 represent the input data for statistical model. In this study, seven main operating  
 261 parameters (input data) and two performance parameters, based on a two-dimensional  
 262 numerical and an experimental models [2, 10], were selected. Temperature, relative  
 263 humidity and flow rate of process air, temperature of regeneration air, length of the  
 264 desiccant bed, solar radiation intensity and operating time are operating parameters; and  
 265 moisture extraction efficiency as the performance factor of dehumidification process  
 266 and moisture removal efficiency as the performance factor of regeneration process are  
 267 the selected performance parameters. To concentrate the model on real operating  
 268 conditions of the system, and to avoid unrealistic operating conditions, suitable ranges  
 269 for each operating parameters are determined by a meticulous investigation of real  
 270 operating conditions in numerical and experimental literatures as listed in Table 2 [2,  
 271 10]. Flow rate and relative humidity of the air stream in both cycles are considered to  
 272 be same [2].  
 273

274 **Table 2.** Operating parameters and corresponding operation ranges

275	Operating parameters	Ranges
	Temperature of the process air, $^{\circ}\text{C}$	25 – 40
	Relative humidity of the both air, -	0.6 – 0.9
	Temperature of the regeneration air, $^{\circ}\text{C}$	70 – 80
	Flow rate air stream, m/s	1 – 4
	Length of the desiccant bed, m	1 – 5
	Solar radiation intensity, $\text{W}/\text{m}^2$	0 – 1800
	Operating time of each cycle, hr	1 – 5

276

277

The comprehensive dataset is divided into two parts: 1) training set, and 2) testing set.

278

Training set is used to train and develop the model, and testing set is used to test the

279

developed GPR model. Discrete values of operating parameters are needed to generate

280

the comprehensive dataset. The values are randomly chosen to construct the datasets

281

only, and validity of the model is not limited to these values. Having identified the

282

discrete values, as listed in Table 3, all possible combinations of the discrete values are

283

created to introduce all possible operating conditions of the system to the GPR model.

284

Figure 2 illustrates three operating conditions out of n (6480) possible conditions in

285

which 4320 are taken as training set and 2160 of them are specified as testing set. To

286

build the dependent part of the datasets, performance parameters for each created

287

operating conditions were calculated through the numerical model [2].

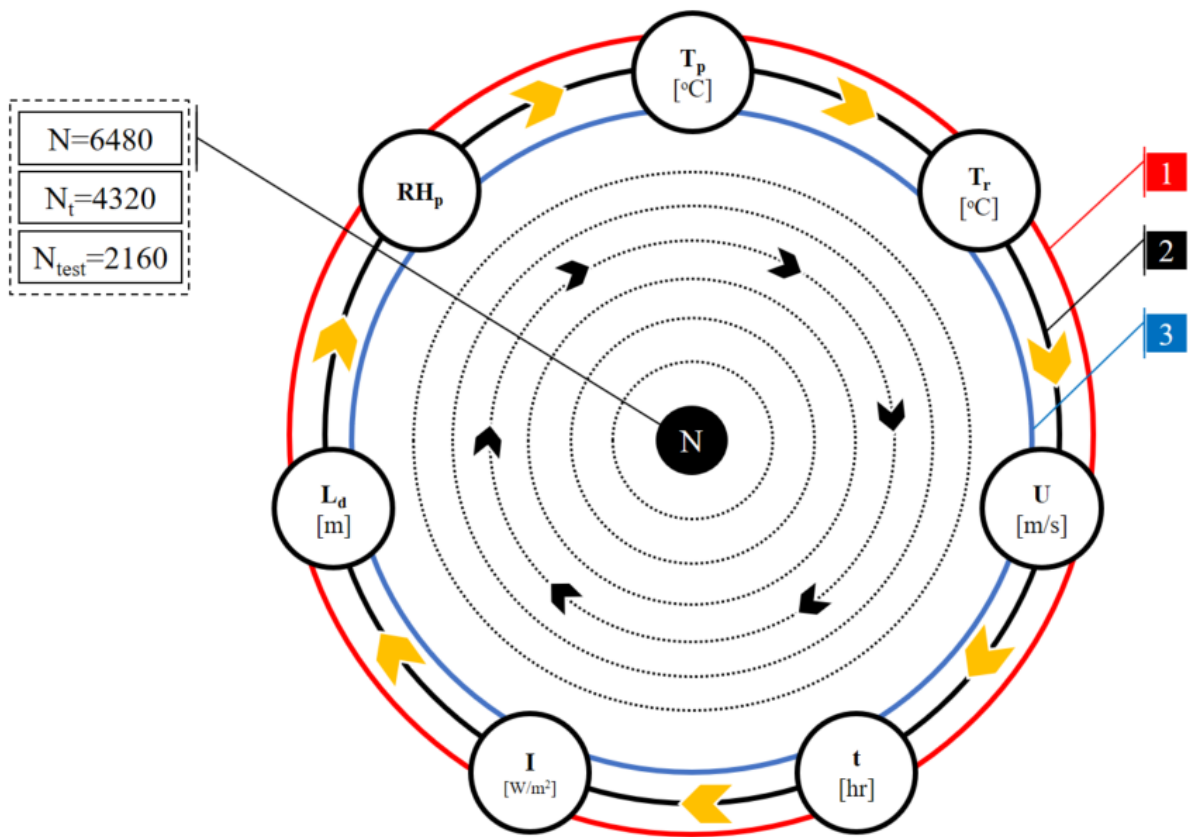
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289 **Table 3.** Discrete values of operating parameters

$T_p$ [ $^{\circ}\text{C}$ ]	$\text{RH}_p$ [-]	$T_r$ [ $^{\circ}\text{C}$ ]	$u$ [m/s]	$L_d$ [m]	$I$ [ $\text{W}/\text{m}^2$ ]	$t_h$ [hr]
------------------------------	-------------------	------------------------------	-----------	-----------	-------------------------------	------------

290  
291  
292  
293  
294  
295

25	0.6	20	1	1	0	1
27.5	0.678	70	1.5	2	600	2
30	0.75	75	2	3	1200	3
32.5	0.825	80	2.5	4	1800	4
35	0.9	85	3	5		5
37.5		90	3.5			
40			4			



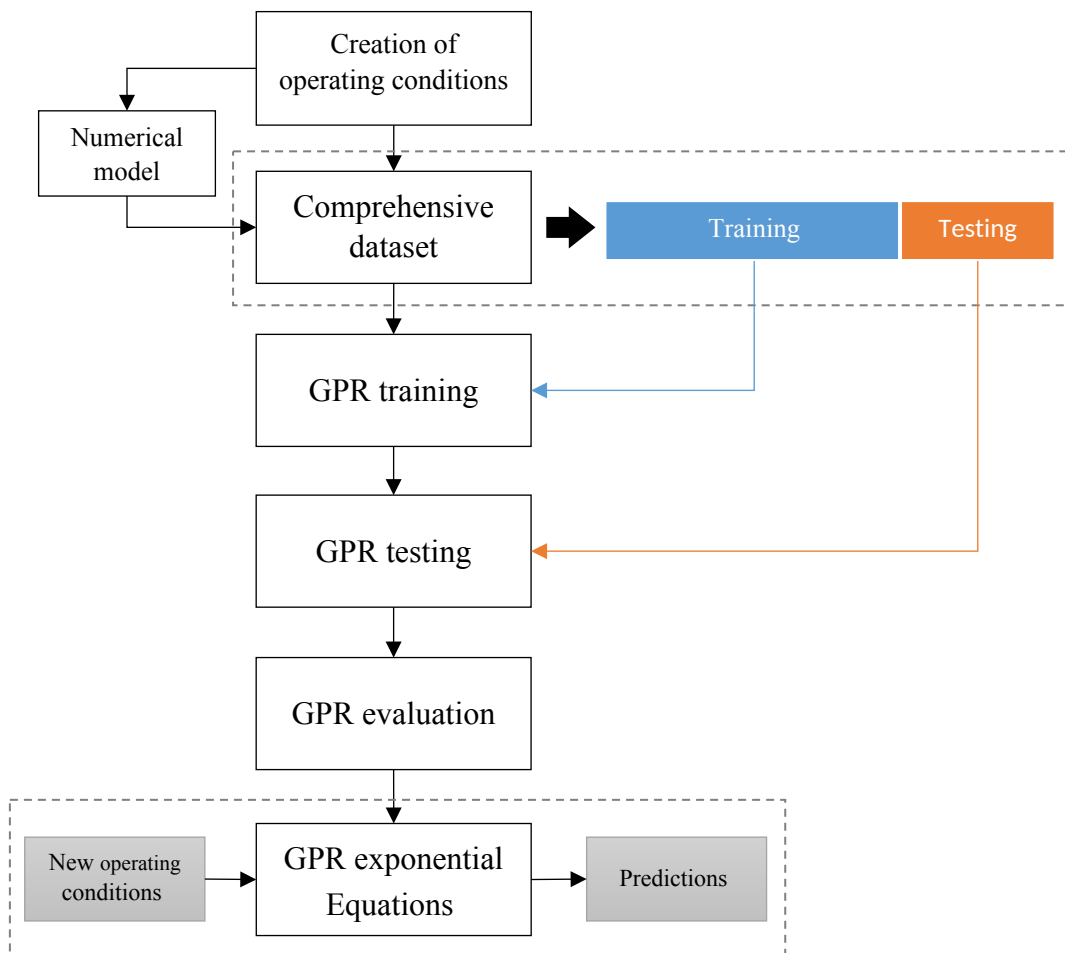
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300

**Figure 2.** Illustration of three operating conditions out of a total of  $N$  operating conditions

The flow diagram of the processes to develop the GPR model is shown in Figure 3 and the detailed process steps are summarized as below:



- 301 I. Creation of operating conditions using the selected operating parameters (input  
 302 data).
- 303 II. Generating the comprehensive dataset by the numerical model.
- 304 III. Classifying the comprehensive dataset into training and testing sets
- 305 IV. Training the GPR model employing the training set in R software package.
- 306 V. Testing the developed GPR model using the testing set.
- 307 VI. Model evaluations by RMSE, MAPE and  $R^2$  metrics.
- 308 VII. System performance prediction using the new inputs.



309

310

**Figure 3.** Flow diagram of the GPR model development

311

### 312 3. Results and discussion

313 This section presents the generated mathematical equation with corresponding coefficients  
314 for both dehumidification and regeneration processes. The model evaluation by specified  
315 metrics and model testing are also discussed. Finally, the three main applications of the  
316 produced GPR model are explained and investigated.

317

#### 318 3.1. Produced engineering equations

319 The GPR model is presented in the form of an exponential equation for both  
320 dehumidification and regeneration cycles. The equation is purely constructed based on  
321 the selected operating parameters only, and is used to predict the moisture extraction  
322 and moisture removal efficiencies. The equation is represented as:

$$323 \quad y = a + b * \sum_{i=1}^{N_t} \alpha_i \times \exp^{\beta(i)} \quad (22)$$

324 where a and b are constant coefficients,  $\alpha$  is a vector specified in Table 3,  $N_t$  is the  
325 number of operating conditions in training set and y represents:

$$326 \quad y = \begin{cases} \eta_{me} : & \text{for dehumidification process\#} \\ \eta_{mr} : & \text{for regeneration process} \end{cases}$$

327 And the exponential power,  $\beta$ , is given in equation is calculated as:

$$328 \quad \beta(i) = ( - (x_1 - T_p(i)^2/(2\theta_1^2)) - (x_2 - RH_p(i)^2/(2\theta_2^2)) - (x_3 - u(i)^2/(2\theta_3^2)) - \\ 329 \quad (x_4 - L_d(i)^2/(2\theta_4^2)) - (x_5 - T_r(i)^2/(2\theta_5^2)) - (x_6 - I(i)^2/(2\theta_6^2)) - (x_7 - t_h(i)^2/ \\ 330 \quad (2\theta_7^2)) \quad (23)$$

331 where,  $\theta$  is a vector specified in Table 3, and  $x_1, x_2, x_3, x_4, x_5, x_6$  and  $x_7$  represent any  
332 new operating parameters i.e., temperature, relative humidity and flow rate of the  
333 process air, length of the desiccant bed, temperature of the regeneration air and hourly  
334 operating time of the system, respectively. Table 4 gives all the coefficients and vector  
335 parameters for both dehumidification and regeneration cycles.

336 **Table 4.** The coefficient and vector values of the GPR based model

	Dehumidification cycle				Regeneration cycle			
$N_t$	$\alpha$	$\theta$	a	b	$\alpha$	$\theta$	a	b
1	-4763.82	13.7	0.23	0.0024	-25253.13	19.4	0.91	0.003
2	3456.32	0.6	-	-	47221.24	0.6	-	-
3	-12140.8	2.36	-	-	-16611.46	4.78	-	-
4	-5001.25	3.61	-	-	12841.15	1.00E-10	-	-
5	2408.33	96.7	-	-	-15837.75	11.38	-	-
6	-6672.55	1319.62	-	-	8161.87	896.72	-	-
7	-2705.09	1.74			575.37	0.86		
$\vdots$	$\vdots$		-	-	$\vdots$	-	-	-
4319	6695.32		-	-	1325.65	-	-	-
4320	-10506		-	-	2624.53	-	-	-

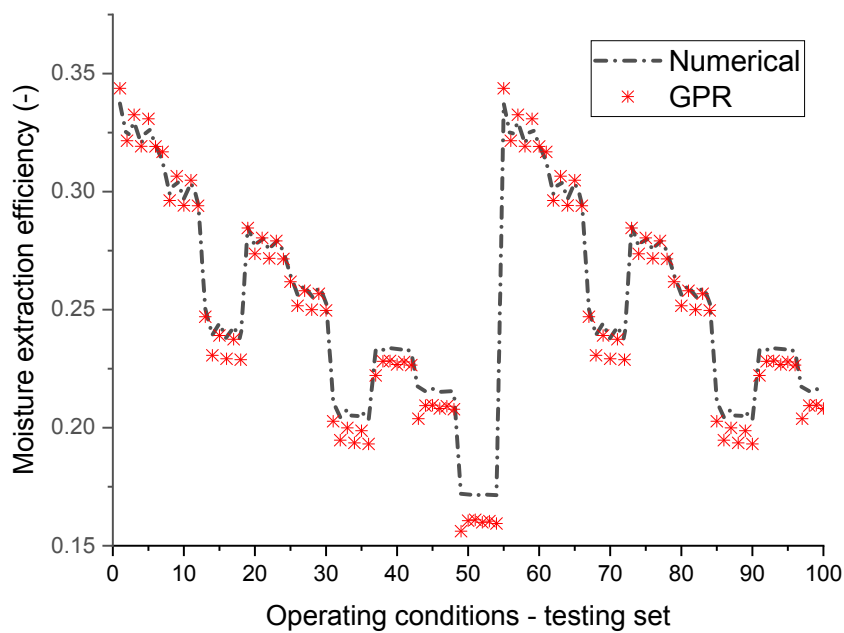
### 3.2. Model testing

The model testing is performed to test the developed GPR model. The predicted performance parameters from GPR model and from the numerical model [2] are compared. The comparison was performed under 2160 operating conditions in testing set. The comparison results are presented in Figure 4 for first 100 operating conditions out of 2160 conditions. As it is seen in Figure 3, there is a close agreement between the predicted performance parameters by GPR and the numerical model results. The testing set contributes to the generalization of the GPR model and indicates that the GPR model is adequately trained. This feature also indicated that the model is not restricted to the training set and thus simultaneously controlled the model overfitting and complexity. The comparison between numerical model and GPR predictions for training set are also illustrated in Figure 4 for the first 100 operating conditions out of 4320 conditions. The overall comparison results were evaluated by the selected metrics given in Table 5. The maximum RSME and MAPE for moisture extraction were found to be 0.045 and 0.21,

361 and for moisture removal efficiencies to be 0.082 and 0.39, respectively; and the lowest  
362  $R^2$  was recorded as 0.97. The close agreement of results between the two models and  
363 also the very small error values proved the GPR model to be reliable and validated its  
364 results. Therefore, it can be concluded with high certainty that the model results are  
365 valid for any operating conditions constructed by the predefined ranges. Detailed  
366 comparison between different statistical approaches e.g, Artificial Neural Network  
367 (ANN), Support Vector Regression (SVR) and Kriging can be found in literatures [33,  
368 34].

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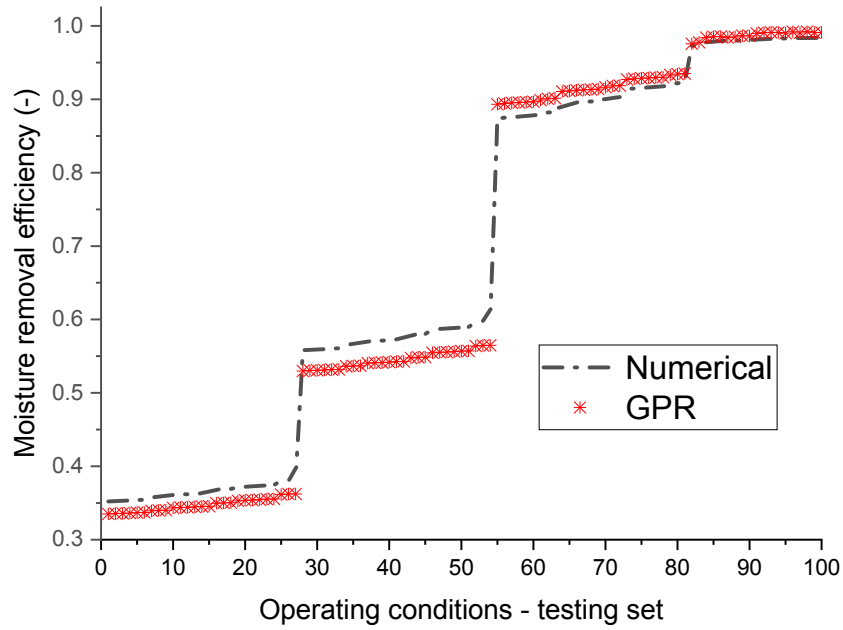
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(a)

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(b)

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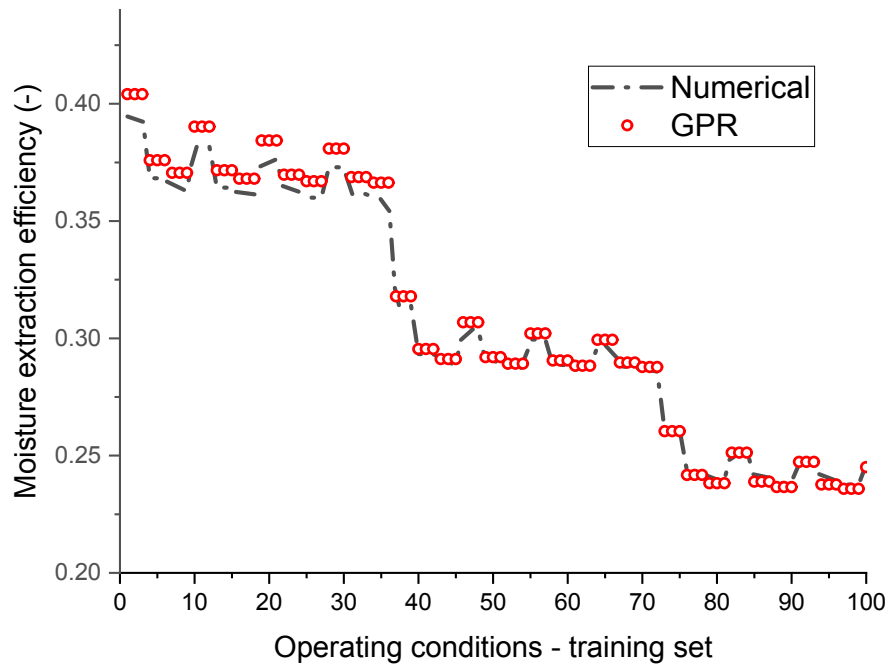
**Figure 4.** Comparison of the GPR model and numerical model results based on

378

testing set (a): moisture extraction efficiency comparison, (b): moisture removal

379

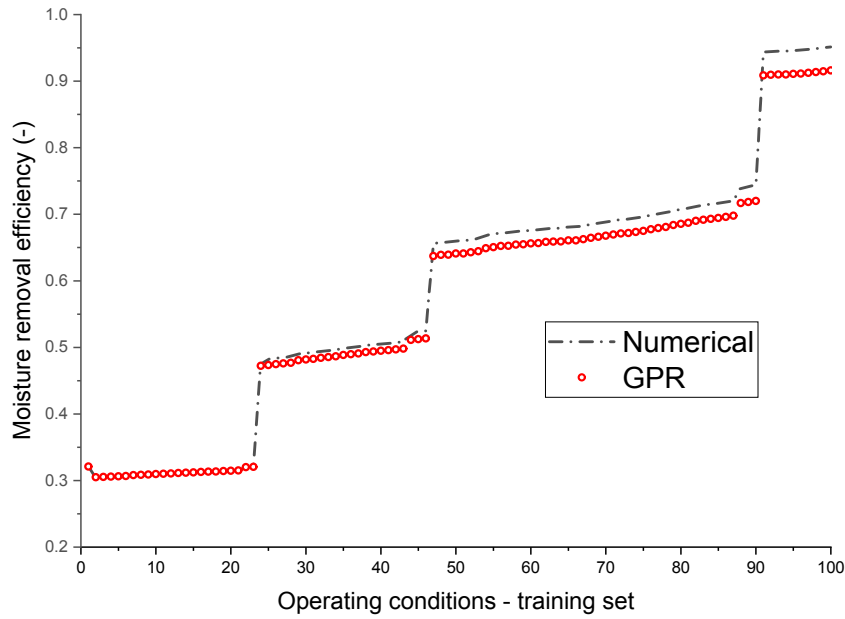
efficiency comparison



380

381

(a)



382

383

(b)

384 **Figure 5.** Comparison of the GPR and numerical model results based on training set (a):

385 moisture extraction efficiency, (b): moisture removal efficiency

386 **Table 5.** Comparison of the metric values between the GPR and numerical model

Set	Moisture extraction efficiency			Moisture removal efficiency		
	RSME	MAPE	R <sup>2</sup>	RSME	MAPE	R <sup>2</sup>
Training	0.012	0.11	1	0.03	0.25	0.98
Testing	0.045	0.21	0.98	0.082	0.39	0.97

389

390 **3.3. Application of the GPR based model**

391 This section presents three main applications of the GPR model. The impact of four  
 392 main parameters on the performance of solar/waste energy driven  
 393 dehumidification/regeneration cycle are analysed and discussed to demonstrate the  
 394 model capability in investigating the effect of different parameters. Additionally, the

395 moisture extraction and moisture removal efficiencies of the system are predicted for a  
396 number of randomly generated operating conditions to prove model's applicability in  
397 any random operating conditions. Eventually, the system's performance is predicted in  
398 two warm and humid climates to show the applicability of the model in real conditions.

### 399 **3.3.1. Impact of the operating parameters on system's performance**

400 Effect of four selected operating parameters, namely: hourly operating time, relative  
401 humidity of the process air, solar intensity and temperature of regeneration air on  
402 performance of the system are shown in Figure 6. In analysis of system performance  
403 based on specified operating parameters, other operating parameters were held  
404 constant to observe the impact of the selected parameters only.

405 To study the effect of operation time, the performance of the system was predicted  
406 in three hours of the operation. As can be seen in Figure 6 (a), moisture extraction  
407 efficiency decreases from 0.31 to 0.15 as time of operation increases. This is due to  
408 the fact that an increase in operation time leads to more saturated desiccant bed  
409 which leads to less heat and mass transfer from process air to the desiccant bed.  
410 Contrarily, the moisture removal efficiency increases over the same period. This is  
411 simply because an increase in operation time contributes to more water evaporation  
412 from the saturated desiccant bed. However, a slight decrease in slope of the moisture  
413 removal efficiency is visible as the regeneration cycle eventually reaches the steady  
414 state.

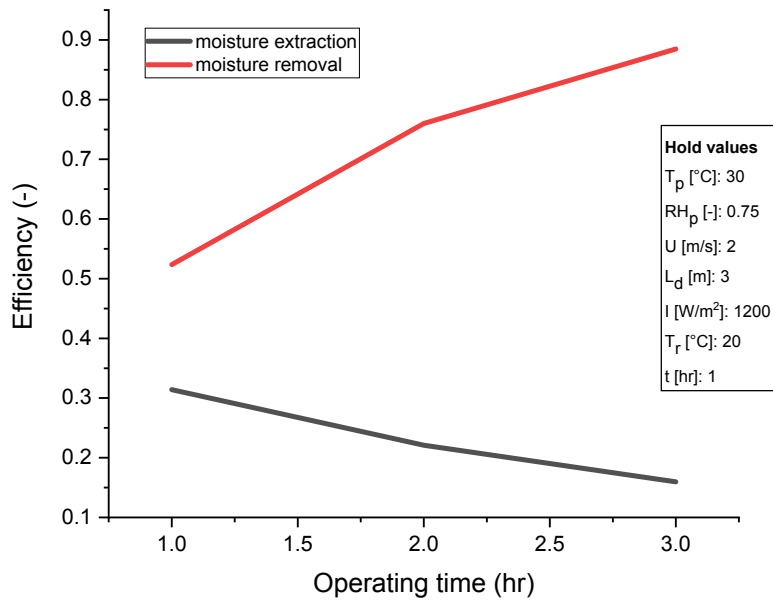
415 It can be observed in Figure 6 (b) that both moisture extraction and moisture  
416 removal efficiencies decrease when relative humidity of the process air is increased  
417 from 60% to 90%. However, this trend is more visible in the dehumidification cycle.  
418 This was expected as the performance of the dehumidification cycle is highly

419 dependent on humidity of the process air. The operating time in this case was 1 hour  
420 during which the greater relative humidity causes the desiccant bed to reach its  
421 saturation level faster. This seriously obstructs the water absorption phenomena  
422 during the dehumidification process and eventually leads to the decrease in moisture  
423 extraction efficiency.

424 In Figure 6 (c), when solar intensity increases from  $600 \text{ W/m}^2$  to  $1800 \text{ W/m}^2$ , the  
425 moisture removal efficiency increases from 0.32 to 0.74 whereas the  
426 dehumidification process remains constant. This trend was expected as in this  
427 particular case, temperature of the regeneration air was kept at  $20^\circ\text{C}$  and thus the  
428 solar radiation plays the key role in water evaporation phenomena during the  
429 regeneration process.

430 Figure 6 (d) illustrates the effect of regeneration temperature on system  
431 performance. An increase in regeneration temperature from  $70^\circ\text{C}$  to  $90^\circ\text{C}$  leads to  
432 an increase in moisture removal efficiency from 0.83 to 0.98. Whereas it does not  
433 have a significant effect on the dehumidification efficiency. The reason for this is  
434 that the solar radiation in this case was ignored and the warm regeneration air was  
435 the main factor in water evaporation phenomena. Thus temperature of the  
436 regeneration air directly influences the regeneration cycle as the greater  
437 regeneration temperature contributes to more heat and mass transfer from the  
438 saturated desiccant bed.

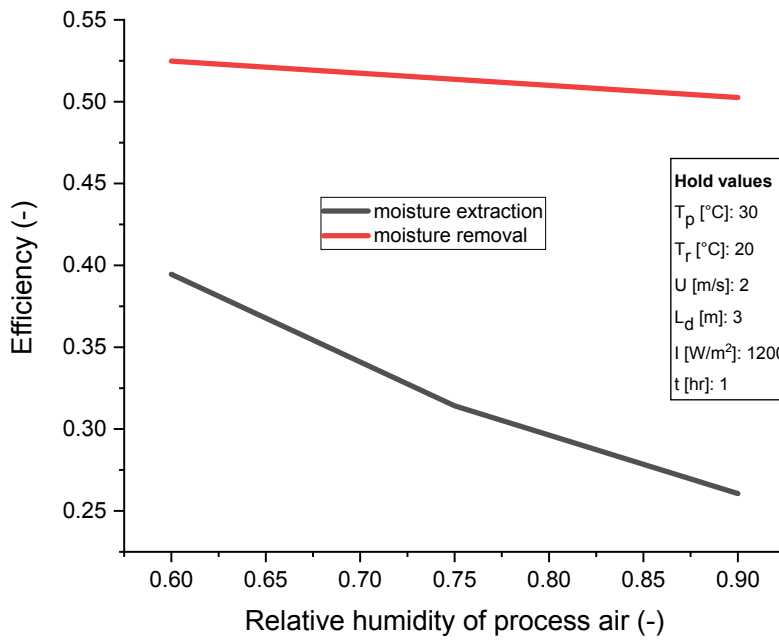




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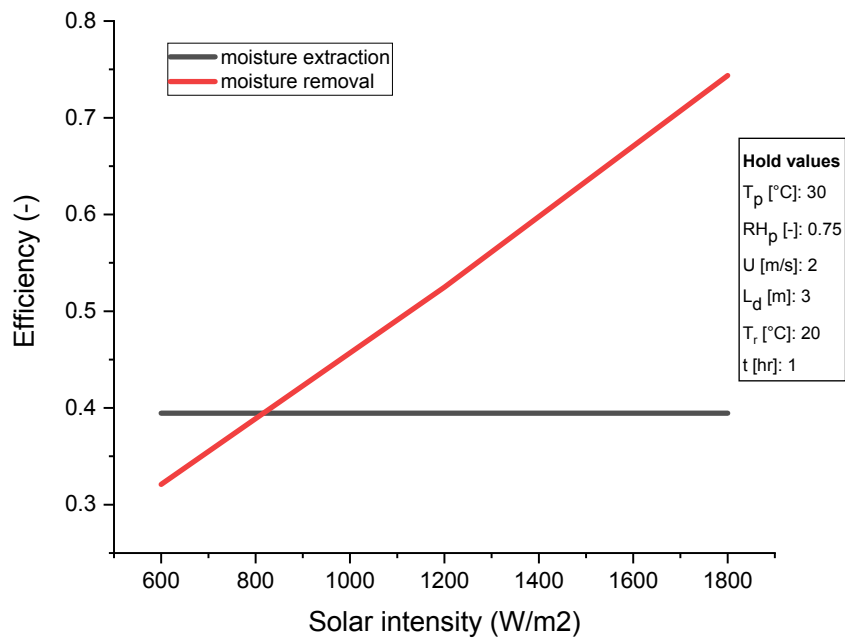
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(a)



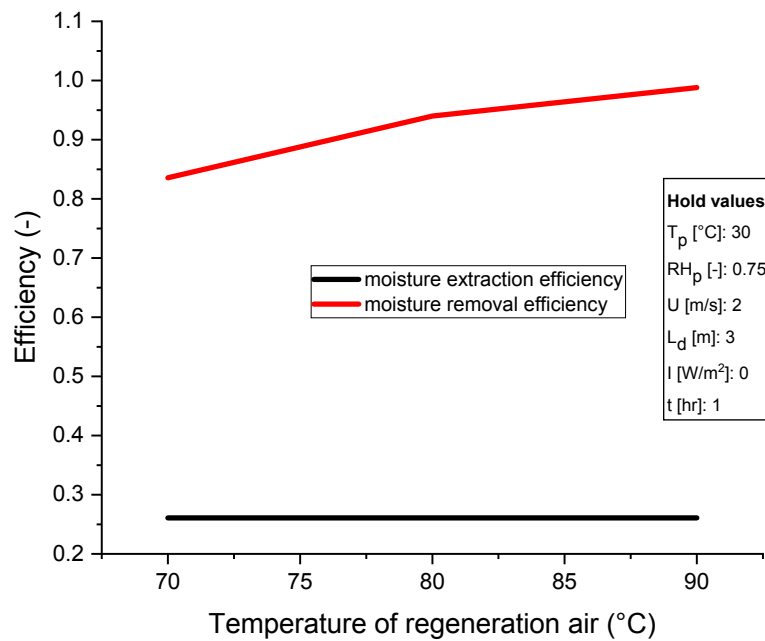
441

(b)



442

(c)



443

(d)

444

**Figure 6.** Impact of four operating conditions on system's performance (a) Operating

445

time (hr); (b) Relative humidity of process air (-); (c) Solar intensity (W/m<sup>2</sup>); (d)

446

Temperature of regeneration air (°C).

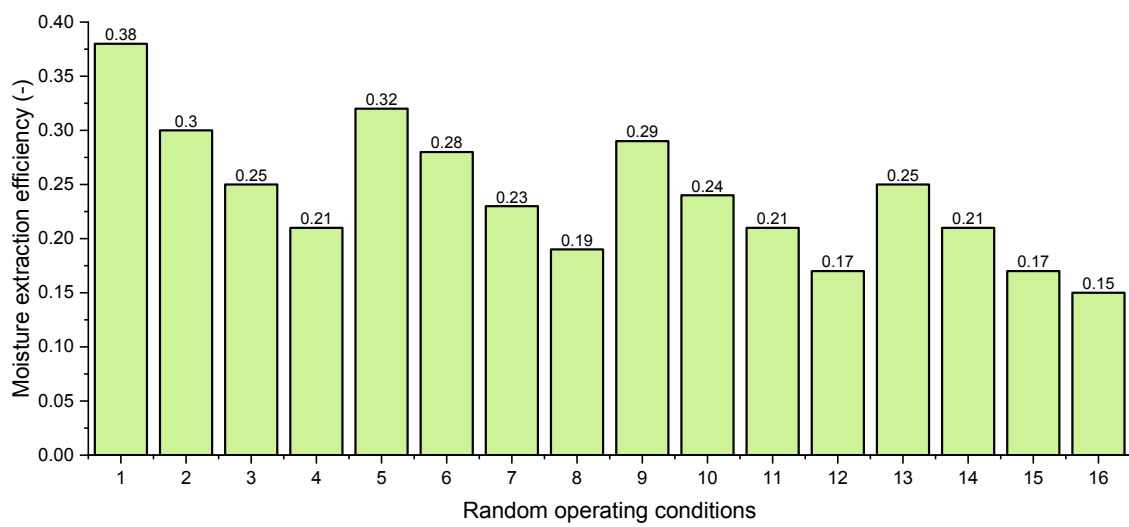
### 3.3.2. Prediction of the system performance under randomly generated operating conditions

In this section, sixteen conditions were generated randomly to simulate the performance of system. The moisture extraction and moisture removal efficiencies of the system were predicted by GPR model. The model was run for one hour of operation and the discrete values of the operating parameters that were used to generate the operating conditions are listed in Table 6. As can be seen in Figure 7 (a), the moisture extraction efficiency was predicted to vary between 0.15 and 0.38 where the maximum and minimum levels occur in operating conditions 1 and 16 respectively. Comparing these two conditions reveals that the first condition is drier than the 16<sup>th</sup> condition, which has the most humid conditions among the randomly generated operating conditions. This simply has led the system to reach its lowest moisture extraction efficiency. For the regeneration cycle, as can be seen from Figure 7 (b), the system shows the best performance in operating conditions 3, 8, 11 and 14. The reason for this performance lies in the fact that in the above-mentioned conditions, the solar radiation has the highest allowable amount, 1800 W/m, which is the main parameter responsible for water evaporation. In contrary, the regeneration cycle has the lowest moisture removal efficiency in operating condition 1. Similarly, solar radiation in this condition, which is 600 W/m<sup>2</sup>, is also the main effective factor in regeneration cycle. Among conditions 4, 5 and 6, where warm air is responsible for the water evaporation from the saturated desiccant bed, the moisture removal efficiency increase from 0.87 in condition 4 to 0.98 in condition 6. This trend was expected as the temperature of the regeneration air was increased from 70 °C in condition 4 to 90 °C in condition 6.

**Table 6.** Randomly generated operating conditions

N	$T_p$ [ $^{\circ}$ C]	$RH_p$ [-]	$T_r$ [ $^{\circ}$ C]	$U$ [m/s]	$L_d$ [m]	$I$ [W/m <sup>2</sup> ]
1	25	0.6	20	1	1	600
2	26	0.7	20	2	2	1200
3	27	0.8	20	3	3	1800
4	28	0.9	70	4	4	0
5	29	0.6	80	1	5	0
6	30	0.7	90	2	1	0
7	31	0.8	20	3	2	1200
8	32	0.9	20	4	3	1800
9	33	0.6	20	1	4	600
10	34	0.7	20	2	5	1200
11	35	0.8	20	3	1	1800
12	36	0.9	20	4	2	600
13	37	0.6	20	1	3	1200
14	38	0.7	20	2	4	1800
15	39	0.8	20	3	5	600
16	40	0.9	20	4	1	600

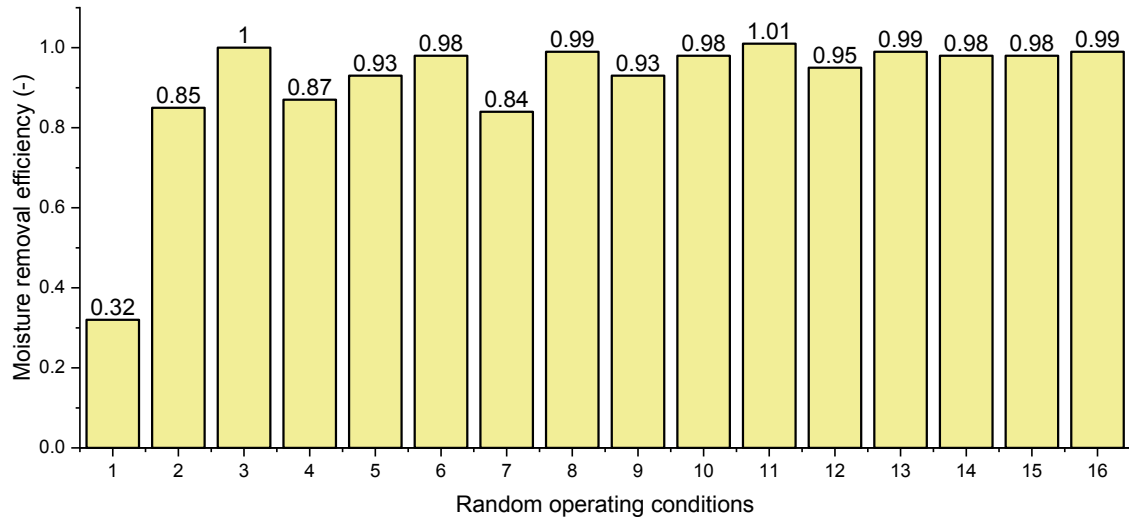
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473

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(a)



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(b)

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**Figure 7.** Prediction of the system performance under randomly generated operating conditions; (a): moisture extraction efficiency; (b): moisture removal efficiency

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### 3.3.3. Prediction of the system performance in warm and climate weather conditions

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The model is used to predict the performance of the system in warm and humid climates i.e. Singapore and Dubai and their weather information [35] are shown in Figure 8. The average temperature and RH humidity are chosen as input conditions of the process air. Flow rate of process air is 1 [m/s] and length of the desiccant bed is 1 [m]. The regeneration process is assumed to be done by warm air only where the temperature of regeneration air is 90 [°C] and thus the solar radiation intensity is ignored. Additionally, the prediction is done for 1 hour of operating time for each cycle.

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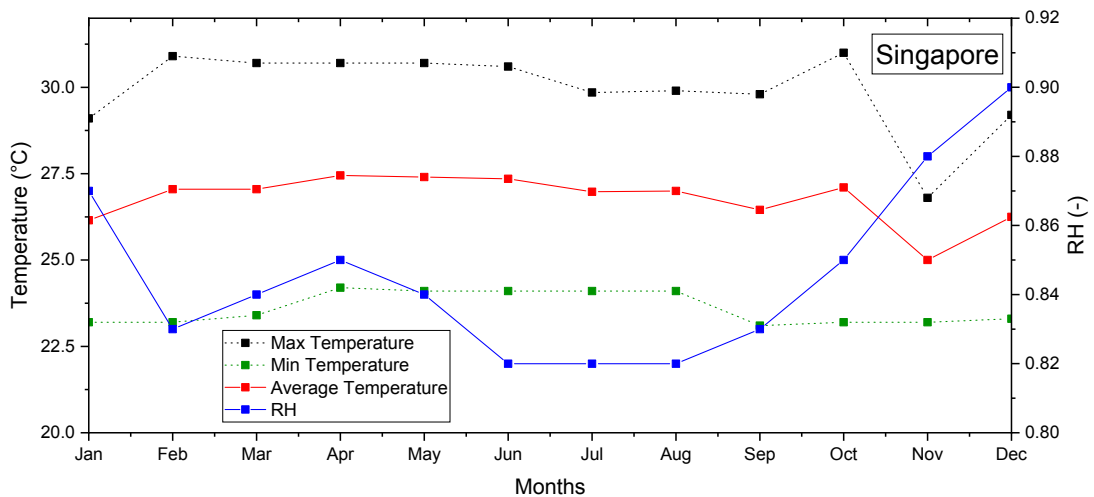
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491

The prediction is done for an entire year in Singapore but for Dubai, the dehumidification system is needed from April to November. The reason for this is that the average temperature and relative humidity of the selected months should be

492 within the predefined ranges in Table 1. The prediction results for both moisture  
 493 extraction and moisture removal efficiencies are shown in Figure 9. As can be seen,  
 494 the moisture extraction efficiency in Singapore ranges 0.25-0.27. The reason for  
 495 this stability is the stable weather conditions in Singapore all along the year where  
 496 the average temperature ranges from 25 to 27.45 [°C] and the relative humidity is  
 497 between 0.82 and 0.9. Similarly, the moisture removal efficiency in Singapore is  
 498 relatively constant at 0.98. This is again because of the stable inputs of regeneration  
 499 air where the main impacting factor, the temperature of regeneration air, is constant  
 500 at 90 [°C] and the solar intensity is ignored. However, for Dubai, the moisture  
 501 extraction efficiency ranged from 0.28 in August to 0.4 in April and the moisture  
 502 removal efficiency is between 0.96 in August and 0.99 in November. The reason  
 503 for relatively similar moisture removal efficiencies in both cities lies in the fact that  
 504 apart from the condition of the desiccant bed happened during the dehumidification  
 505 cycle, the main effecting factor is the warm air temperature, which is constant.

506

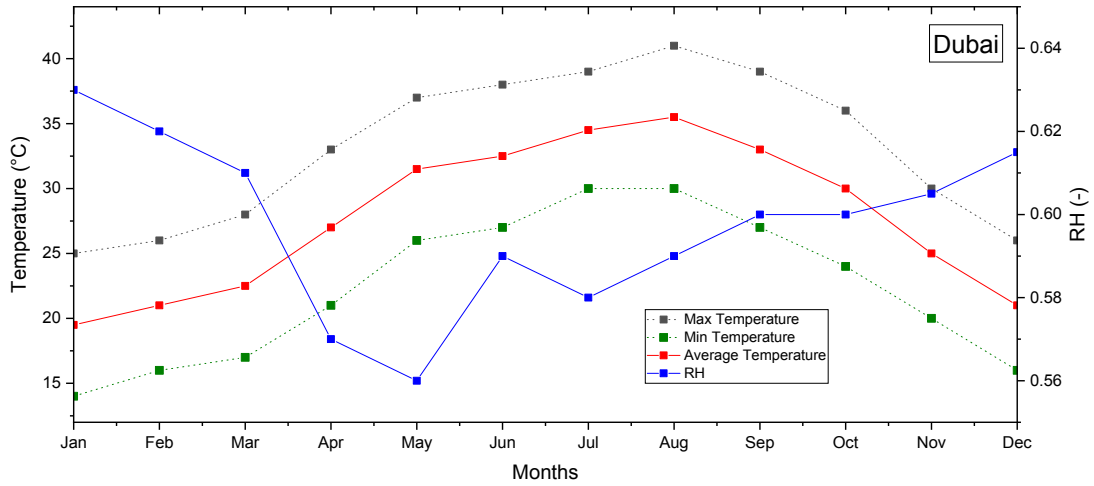


507

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(a)

509

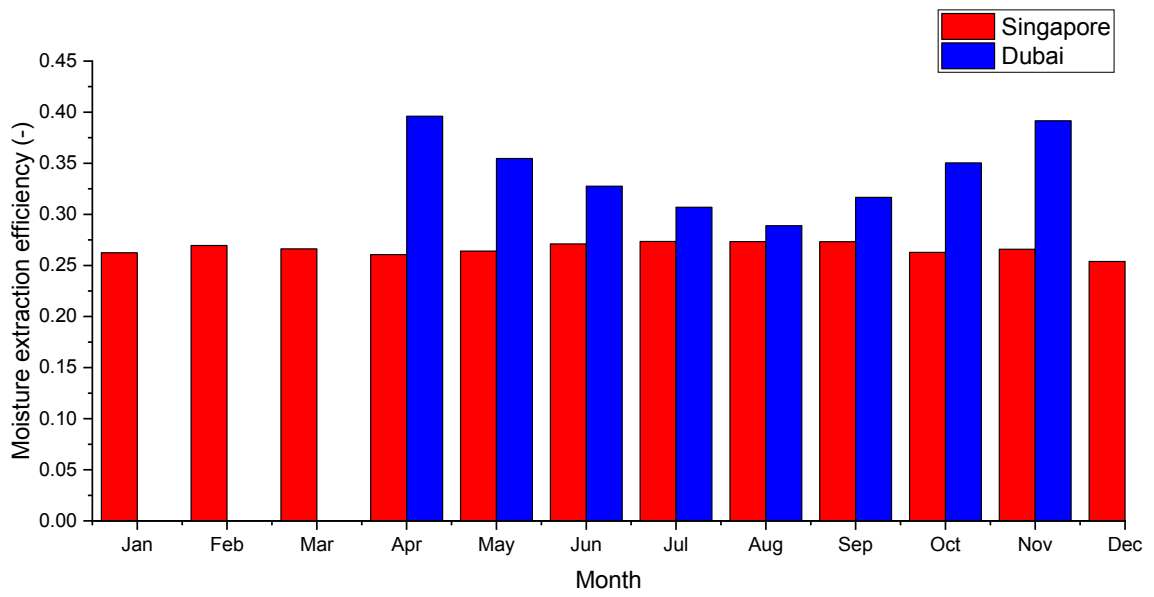


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(b)

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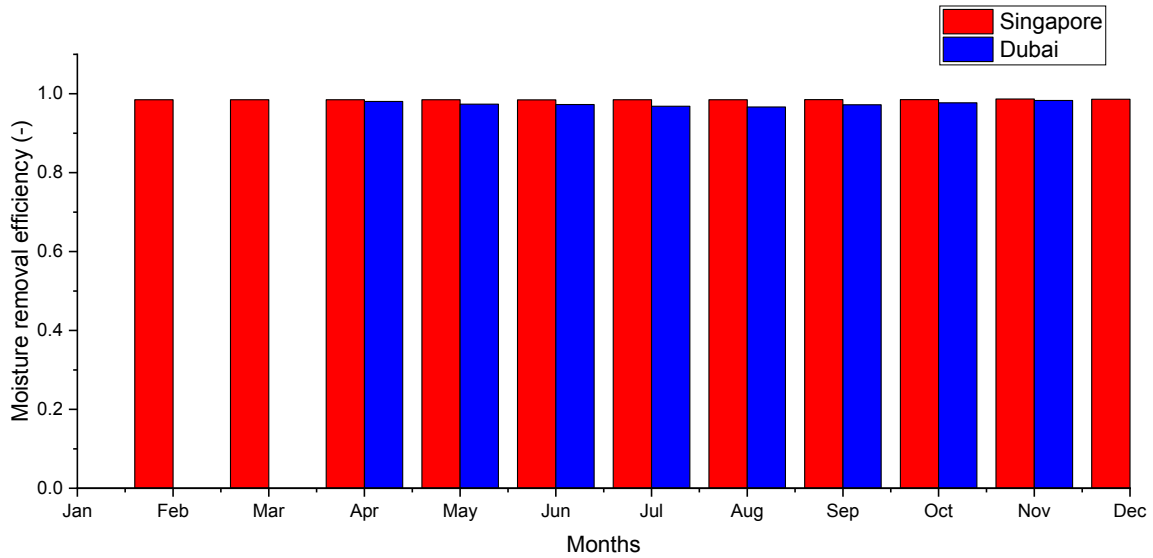
**Figure 8.** Weather information; (a): Singapore; (b): Dubai



512

(a)

513



(b)

514

515

516 **Figure 9.** Prediction of the system performance in warm and humid climate; (a): moisture

517 extraction efficiency; (b): moisture removal efficiency

518

519 **4. Conclusion**

520 The authors were pioneered in bringing the Gaussian process regression into investigation

521 of the dehumidification systems. The GPR model was first trained by a training set and

522 then tested with a numerical model through the testing set. Such kind of effort directly

523 correlated the main operating parameters of the desiccant system with the performance

524 parameters. The selected operating parameters were temperature, relative humidity and

525 flow rate of process air, temperature of the regeneration air, length of the desiccant bed,

526 solar radiation intensity and operating time of the system and the selected performance

527 parameters were moisture extraction efficiency for the dehumidification cycle and moisture

528 removal efficiency for the regeneration cycle. The model was tested by a numerical model

529 and was evaluated by three common metrics. The maximum RSME and MAPE were 0.045

530 and 0.21 for moisture extraction, and 0.082 and 0.39 for moisture removal efficiencies,



531 respectively; and the lowest  $R^2$  was 0.97. The developed GPR model was employed to study  
532 the effect of four operating parameters on performance of the system, prediction of the  
533 performance parameters under 16 randomly generated operating conditions and warm and  
534 humid climates. The presented GPR model is prompt and time efficient in performance  
535 prediction of the dehumidification systems and is needless of heat and mass transfer  
536 equations. The model can be used as a robust and reliable tool in design and optimization  
537 of the dehumidification systems.

538

### 539 **Acknowledgment**

540 The authors would acknowledge our sincere appreciation to the financial supports from the  
541 European Commission H2020 MSCA programme (for the EU H2020—MSCA-RISE-  
542 2016-734340 DEW-COOL-4-CDC project)

543

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